
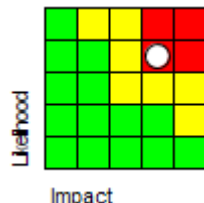
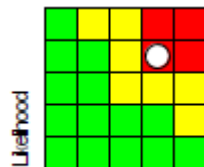
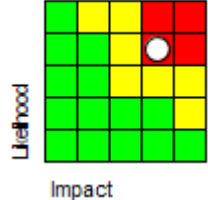
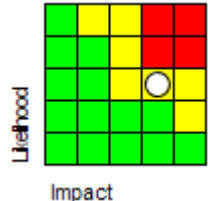
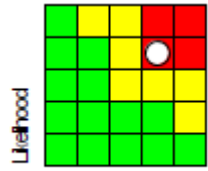
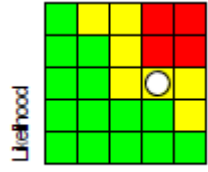
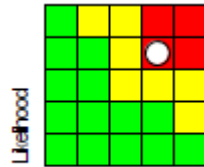
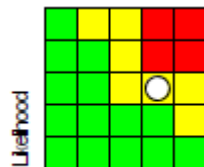


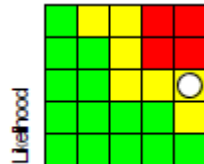
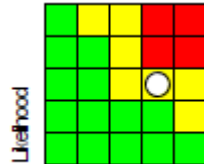
<b>Risk Title</b>	<b>Impact on financial position as a result of lack of certainty around future funding streams (i.e. Business Rates, Fair Funding, New Homes Bonus and Council Tax Equalisation), and cost of living crisis.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Financial	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Awareness &amp; Understanding of national policy changes</li> <li>• Balanced budget approved</li> <li>• Deliver Action Plan of Commercialisation Strategy</li> <li>• Maintain an awareness of changing priorities</li> <li>• MTFS in place</li> <li>• Strategy to maximise growth of Business Rates</li> <li>• Working with significant partners</li> <li>• Maintain adequate level of reserves . Financial plan now in place with measures to reduce the budget gap.</li> </ul>	<b>Latest Note</b>	Overall risk level remains high. The forthcoming general election adds to the level of uncertainty over the extent and timing of future changes to the funding formula.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Closure, downsizing of premises or relocation of a major district employer which may cause significant unemployment, retraining requirements and a potential loss of Business Rates</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Financial	<b>Current Controlled Risk Score</b>		<b>16</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Review the Council's Economic Development Strategy and resources</li> <li>• Develop the work and skills capacity</li> <li>• Engage regularly with businesses to understand requirements</li> <li>• Work with authorities, landowners, developers &amp; agents</li> </ul>	<b>Latest Note</b>	No further update.	
		<b>Latest Note Date</b>	12 Jun 2024	

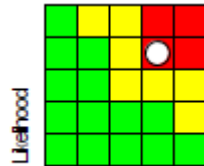
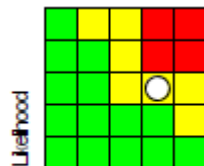
<b>Risk Title</b>	<b>Failure to recruit and retain the right people for the right jobs</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Professional	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Learning and development provision, including skills and training needs analysis</li> <li>• Supporting Employee Performance policies and practices</li> <li>• Workforce planning including succession planning and use of market supplements where applicable.</li> </ul>	<b>Latest Note</b>	No change to rating but other services including Environmental Services and Planning are now struggling to retain staff due to more competitive remuneration packages available elsewhere.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Failure to provide affordable housing and supporting infrastructure in the district in line with identified need.</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Agreement on countywide housing distribution</li> <li>• Council adoption of appropriate housing needs policies</li> <li>• Feedback to consultation processes</li> <li>• Input into Strategic Planning Groups</li> <li>• Review of options to deliver affordable housing &amp; balanced housing market</li> </ul>	<b>Latest Note</b>	It is expected that the current increase in the provision of affordable housing will be short-lived.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Structural, legislative and budgetary changes in other Public Sector organisations (e.g. DWP, Health, Police, Leicestershire CC).</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Financial / Social	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Maintain awareness &amp; respond to implications of emerging changes in public sector delivery organisations</li> </ul>	<b>Latest Note</b>	No change.	
		<b>Latest Note Date</b>	12 Jun 2024	

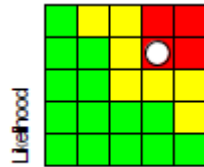
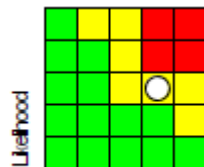
<b>Risk Title</b>	<b>Huncote Leisure Centre and surrounding site - risk of elevated levels of methane from landfill site.</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Financial / Reputational / Health & Safety	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Additional monitoring and venting wells installed.</li> <li>• Regular communication with partners on site.</li> <li>• Trenches installed around perimeter of building, including a pump and pipework to drain water away to land at rear of site.</li> <li>• A further active ventilation stack to be installed to draw gas away from building.</li> </ul>	<b>Latest Note</b>	No change to current risk rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

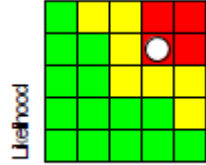
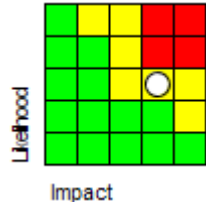
<b>Risk Title</b>	The Council's ambition to meet the 2030 net zero carbon reduction target has a detrimental impact on our financial position..	<b>Uncontrolled Risk Score</b>		<b>12</b>
<b>Risks Category</b>	Environmental / Financial / Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Carbon reduction action plan in place.</li> <li>• Dedicated officer working on "green" initiatives..</li> <li>• Cross service working group in place to maintain high profile and awareness.</li> <li>• Fleet replacement strategy under review.</li> </ul>	<b>Latest Note</b>	The Council awaits the outcome of its challenge back to DLUHC in terms of the level of funding required to implement food waste collections.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Officer and Member emotional wellbeing is impacted by ongoing service demand and financial pressures</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Physical	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Policies and procedures being revised and reviewed together with guidance documents for staff and managers</li> <li>• Employee helpline in place</li> <li>• Continuous review with teams and individuals</li> <li>• Being flexible with working policies.</li> <li>• Member Induction Programme.</li> <li>• Improvement in signposting.</li> <li>• Wellness action plans in place.</li> </ul>	<b>Latest Note</b>	No update	
		<b>Latest Note Date</b>	12 Jun 2024	

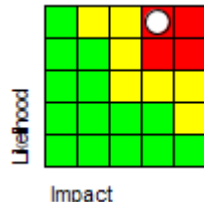
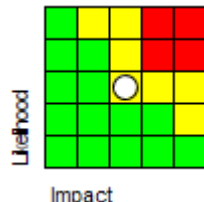


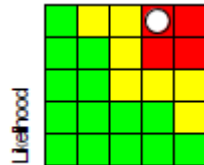
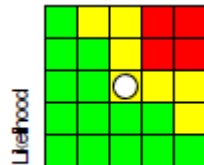
<b>Risk Title</b>	<b>Partners lose confidence in the Leicestershire Building Control Partnership delivery model.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>		<b>Latest Note</b>	Building Control surveyors are currently undergoing the new training required as part of the outcome of the Grenfell incident.	
		<b>Latest Note Date</b>	12 Jun 2024	

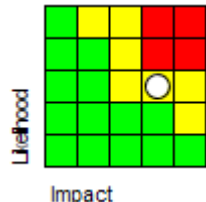
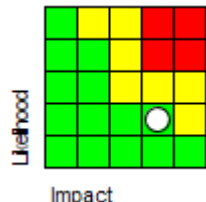
<b>Risk Title</b>	<b>District Partners Do Not Reach Agreement on the Future Lightbulb Delivery Model and Business Case</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	Regular board meetings in place; recognition of partners' financial position; regular monitoring of budget; meetings held with partners as part of the contract planning process; inclusion on Internal Audit Plan.	<b>Latest Note</b>	We are in the process of updating the business case for Lightbulb, including financial modelling of a number of options for the future delivery.	
		<b>Latest Note Date</b>	12 Jun 2024	

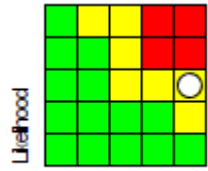
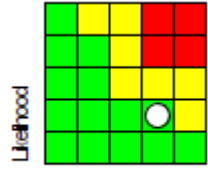
<b>Risk Title</b>	<b>The Council is unable to deliver a new Local Plan</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Reputational	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• identify additional sites for residential development</li> <li>• release appropriate sites for residential development through the development management process</li> <li>• progress the local plan in accordance with the Local Development Scheme</li> <li>• member training plan in place</li> <li>• resource in place to ensure delivery</li> </ul>	<b>Latest Note</b>	No change - Local Plan to be submitted by July 2025.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>The Council is unable to meet its 5 year land supply target</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Reputational / Financial	<b>Current Controlled Risk Score</b>		<b>12</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• re-establish land supply through new local plan</li> <li>• ensure that Planning Committee are sufficiently well-trained to be able to approve favourable housing applications</li> </ul>	<b>Latest Note</b>	No change to existing risk rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

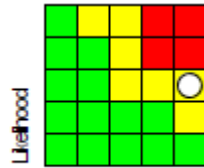
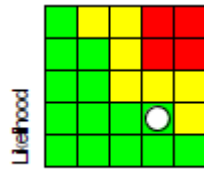
<b>Risk Title</b>	<b>Cost of living crisis leading to increased demand for services.</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Financial / Social	<b>Current Controlled Risk Score</b>		<b>9</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• CAB service * Earmarked reserve in place to support cases of serious hardship.</li> <li>• Communication with food banks to be able to provide support</li> <li>• Supporting residents in times of crisis</li> <li>• Grant funding available from government as part of Energy Bill Support Scheme (additional funding and alternative fuels)</li> </ul>	<b>Latest Note</b>	Recovery rates for council tax and business rates have not been severely affected over the last financial year, although demand on a number of services remains high.	
		<b>Latest Note Date</b>	12 Jun 2024	

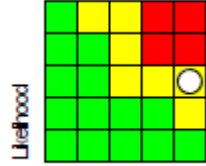
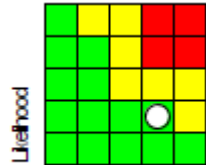
<b>Risk Title</b>	<b>Impact of Industrial Action on Services &amp; Residents</b>	<b>Uncontrolled Risk Score</b>		<b>20</b>
<b>Risks Category</b>	Environmental / Financial / Reputational	<b>Current Controlled Risk Score</b>		<b>9</b>
<b>Internal Controls</b>	Alternative workforce where possible; mental health support in place; arbitration measures; regular communication to staff, members, and residents; SLT presence at depot.	<b>Latest Note</b>	It is unclear as to whether the forthcoming general election will have any impact on the level of potential industrial action this financial year.	
		<b>Latest Note Date</b>	12 Jun 2024	

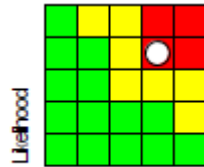
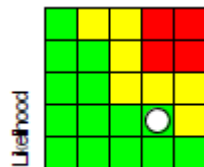
<b>Risk Title</b>	Blaby District Plan is not deliverable within available resources. If we do not deliver the corporate objectives the quality of life of residents and those who work in the district will not be improved.	<b>Uncontrolled Risk Score</b>		<b>12</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• All service plans aligned to Blaby District Plan objectives</li> <li>• Be clear about expected outcomes</li> <li>• Monitor delivery of Blaby District Plan</li> <li>• Review Blaby District Plan priorities regularly</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

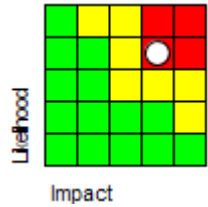
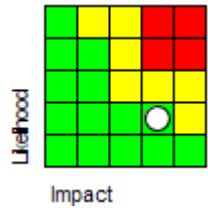
<b>Risk Title</b>	<b>Failure to have effective safeguarding arrangements and a plan in place to safeguard children and vulnerable adults may place people in danger</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Competitive / Reputational / Social	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Active Member of District DSO Group</li> <li>• Continuous training of designated officers, review of policies</li> <li>• Ensuring enough trained officers are available</li> <li>• Policies in place and effectively communicated to staff</li> </ul>	<b>Latest Note</b>	No change - review later in year in light of forthcoming Internal Audit inspection.	
		<b>Latest Note Date</b>	12 Jun 2024	

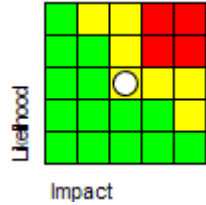
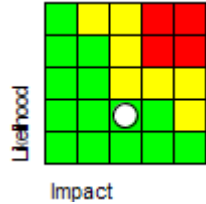


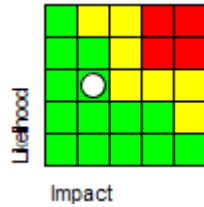
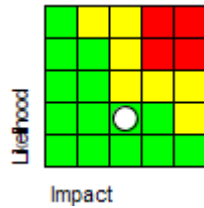
<b>Risk Title</b>	<b>Failure to ensure adherence to internal control arrangements would leave the council open to fraud, affecting reputation and breaches of the council's procedures, policies and legal responsibilities.</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Fraud / Legal	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Anti Fraud &amp; Corruption/Benefit Fraud policies reviewed &amp; adopted. Staff/Member training</li> <li>• Employees adhere to governance procedures</li> <li>• Ensure we do not employ staff with false records</li> <li>• Use of NAFN bulletins to maintain awareness of latest threats</li> <li>• Policies and procedures ensure segregation of duties</li> </ul>	<b>Latest Note</b>	No change required.	
		<b>Latest Note Date</b>	12 Jun 2024	

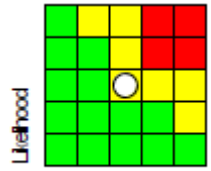
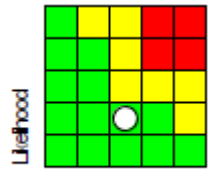
<b>Risk Title</b>	<b>Lack of effective Emergency Planning and Business Continuity arrangements</b>	<b>Uncontrolled Risk Score</b>		<b>15</b>
<b>Risks Category</b>	Professional	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• All key EP &amp; BC documents on Resilience Direct</li> <li>• Internal EP &amp; BC working group meeting</li> <li>• Periodic training with SLT &amp; key officers of plans</li> <li>• Out of hours Emergency Contact Centre Contract (First Call)</li> <li>• Partnership with the Leicestershire Resilience Forum</li> <li>• Senior Leadership Team On Call Rota</li> </ul>	<b>Latest Note</b>	No change to current risk.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Failure to identify, record, monitor and report health and safety risks.</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>	Physical	<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Effective Health &amp; Safety Committee</li> <li>• Effective Health &amp; Safety procedures</li> <li>• Service blueprints</li> </ul>	<b>Latest Note</b>	No change to current rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Failure to provide appropriate temporary accommodation for homeless households</b>	<b>Uncontrolled Risk Score</b>		<b>16</b>
<b>Risks Category</b>		<b>Current Controlled Risk Score</b>		<b>8</b>
<b>Internal Controls</b>	Continue to source alternative provision of temporary accommodation in the District.	<b>Latest Note</b>	Unchanged. The cost of providing bed and breakfast accommodation was lower in 2023/24 than the previous financial year, but we are still needing to use this type of accommodation for longer periods than desired. We have begun the process of moving homeless families into our newly acquired accommodation.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Engagement of elected members negatively impacting on decision making process</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Political	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Cabinet &amp; Leader awareness and development</li> <li>• Code of conduct</li> <li>• Member development strategy/ supporting roles &amp; responsibilities</li> <li>• Recruitment/member succession</li> <li>• Audit &amp; Corporate Governance Committee</li> <li>• Training/Cabinet development</li> <li>• Induction Programme for new members</li> <li>• New, cross-party Whips Group in place.</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Failure to comply with legislation, resulting in us not meeting our statutory obligations</b> <b>The organisation promotes and demonstrates the principles and values of good governance</b>	<b>Uncontrolled Risk Score</b>		<b>6</b>
<b>Risks Category</b>	Legal	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Constitution regularly reviewed and kept up to date</li> <li>• Annual Governance Statement</li> <li>• Independent Member Committees</li> <li>• Skilled workforce</li> <li>• Training/CPD</li> </ul>	<b>Latest Note</b>	Currently undertaking further work to ensure that our governance processes are robust.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Contracts may fail to deliver intended outcomes if not managed effectively, and improvements/efficiencies may not be delivered.</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Contractual / Partnership	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	<ul style="list-style-type: none"> <li>• Ensure that effective contract management arrangements are put in place as part of procurement process.</li> <li>• Manage SLM Contract through quarterly governance meetings.</li> <li>• Awareness and contract management training delivered to staff involved with procurement.</li> <li>• Development of working relationship with Welland Procurement.</li> </ul>	<b>Latest Note</b>	No change to risk rating.	
		<b>Latest Note Date</b>	12 Jun 2024	

<b>Risk Title</b>	<b>Delivery of the Hospital Enablement Team Model Becomes Unsustainable</b>	<b>Uncontrolled Risk Score</b>		<b>9</b>
<b>Risks Category</b>	Contractual / Financial / Partnership / Political / Reputational	<b>Current Controlled Risk Score</b>		<b>6</b>
<b>Internal Controls</b>	Regular board meetings in place; recognition of partners' financial position; regular budget monitoring; 100% external funding in place.	<b>Latest Note</b>	No change - funding in place for 2024/25.	
		<b>Latest Note Date</b>	12 Jun 2024	



